

STATEMENT OF DILIGENT EFFORT

I, _____ License #: _____
Name of Retail Agent or Surplus Lines Broker that Obtained Three Declinations

Name of Agency: _____

Have sought to obtain:

Specific Type of Coverage _____ for

Named Insured _____ from the following
authorized insurers currently writing this type of coverage:

(1) Authorized Insurer: _____

Person Contacted (or indicate if obtained online declination): _____

Telephone Number/Email: _____ Date of Contact: _____

The reason(s) for declination by the insurer was (were) as follows (Attach electronic declinations if applicable):

(2) Authorized Insurer: _____

Person Contacted (or indicate if obtained online declination): _____

Telephone Number/Email: _____ Date of Contact: _____

The reason(s) for declination by the insurer was (were) as follows (Attach electronic declinations if applicable):

(3) Authorized Insurer: _____

Person Contacted (or indicate if obtained online declination): _____

Telephone Number/Email: _____ Date of Contact: _____

The reason(s) for declination by the insurer was (were) as follows (Attach electronic declinations if applicable):

Printed Name and Signature of Surplus Lines Broker Placing the Policy

Date

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OR, by checking this box, I attest that I am the Surplus Lines Broker and that I am familiar with the insurance market and this particular risk cannot be placed in the admitted market. A written record documenting familiarity and knowledge of the insurance market shall be maintained by the broker and must be current within 90 days of writing this policy. The written record documenting familiarity of the insurance market are the three declinations within the last 90 days of writing this policy, exhibiting that this particular risk cannot be placed in the admitted market.

Printed Name and Signature of Surplus Lines Broker Placing the Policy

Date

"Diligent effort" means seeking coverage from and having been rejected by at least three authorized insurers currently writing this type of coverage and documenting these rejections. Surplus lines brokers must verify that a diligent effort form was completed by retaining a properly documented statement of diligent effort from the retail or producing agent. Declinations must be documented on a risk-by-risk basis.