

# STATEMENT OF DILIGENT EFFORT

I, \_\_\_\_\_ License #: \_\_\_\_\_  
Printed Name of either Retail Agent or Individual Surplus Lines Broker that Obtained the two Declinations Below.

Name of Agency: \_\_\_\_\_

Have sought to obtain:

Specific Type of Coverage \_\_\_\_\_ for

Named Insured \_\_\_\_\_ from the following

two authorized insurers currently writing this type of coverage:

(1) Authorized Insurer: \_\_\_\_\_

Person Contacted: \_\_\_\_\_ Telephone Number/Email:

\_\_\_\_\_ Date of Contact: \_\_\_\_\_

Declination Reason: \_\_\_\_\_

(2) Authorized Insurer: \_\_\_\_\_

Person Contacted: \_\_\_\_\_

Telephone Number/Email: \_\_\_\_\_ Date of Contact: \_\_\_\_\_

Declination Reason: \_\_\_\_\_

\_\_\_\_\_  
Signature of Retail Agent or Individual Surplus Lines Broker Named Above that Obtained the Declinations. Date

OR, by checking this box, I attest that I am the Individual Surplus Lines Broker placing this policy and that I am familiar with the insurance market and this particular risk cannot be placed in the admitted market, or I have accepted an affidavit by the retail agent attesting to such. A written record documenting familiarity and knowledge of the insurance market shall be maintained by the broker and must be current within 90 days of writing this policy. The written record documenting familiarity of the insurance market are two declinations within the last 90 days of writing this policy, exhibiting that this particular risk cannot be placed in the admitted market.

\_\_\_\_\_  
Printed Name and Signature of Individual Surplus Lines Broker Attesting to the above. License # Date

*“Diligent effort” means seeking coverage from and having been rejected by at least two authorized insurers currently writing this type of coverage and documenting these rejections. Surplus lines brokers must verify that a form of diligent effort was completed by retaining a properly documented statement of diligent effort from the retail or producing agent. Declinations must be documented on a risk-by-risk basis.*